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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/615.887

07/14/2000

Moises Goldszmidt

22436-704

021971 WILSON SONSINI GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 943041050





Date Mailed: 10/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$144.
 - \$144 for 8 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 964.

A copy of this notice MUST be returned with the reply.

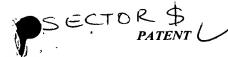
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group No.: Not assigned

Examiner: Not assigned

In re application of: Goldszmidt

Serial No.: 09/615,887

Filed: 07/14/2000

For: METHODS AND APPARATUS TO CONTROL DELIVERY OF A

HOST INFORMATION SYSTEMS SERVICE LEVELS AND RESPONSE TIMES

Commissioner for Patents Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS —NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 10/16/2000. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SMALL ENTITY STATUS

IV. A statement that this filing is by a small entity is attached.

COMPLETION FEES

- 1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))
- 2. Claims Fee: Claims in excess of twenty (37 C.F.R. 1.16(c))
- 3. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))
- 4. Assignment (See "ASSIGNMENT COVER SHEET".)

Total completion fees



\$355.00 72.00

\$65.00 \$40.00

\$ 532.00

PRELIMINARY AMENDMENT

V. Applicant submits herewith a Preliminary Amendment.

REQUEST FOR CORRECTED FILING RECEIPT

VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in		Correct data
1.	Applicant's addresses	- Moises Goldszmidt, Foster City, CA; Bikash Sabata, Menlo Park, CA; Derek Palma,
		Union City, CA; Amitava Raha, San Jose, CA
2.	Continuing Data as Claimed	- This application is a CIP of 09/615,892, filed 07/14/2000; 09/615,886, filed
		07/14/2000; 09/615,678, filed 07/14/2000; 09/616,384, filed 07/4/2000; 09/616,488
		filed 07/14/2000; 09/615,677, filed 07/14/2000; 09/616,096, filed 07/14/2000; and
		09/615,962, filed 07/14/2000
3.	Entity status	- small entity

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

VII. The total fee due is: Completion fees \$532.00 Correct

Corrected Filing Receipt Fees \$25.00

TOTAL FEE DUE \$557.00

PAYMENT OF FEES
VIII. Charge Account No. 23-2415(22436-704) in the amount of \$557.00.

Please charge Account No. 23-2415 (22436-704) for any fees that may be due by this paper.

Paul Davis, Reg. No. 29,294

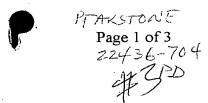
Wilson Sonsini Goodrich & Rosati 650 Page Mill Road Palo Alto, CA 94304 (650) 493-9300 Customer No. 021971

I hereby certily that this correspondence is being deposited with the United States Poetal Service as first class mail in an envelope addressed to: As istant Commissioner for Paterns, Washington, Date

Date

OCT 2 3 2000)





DEMARK OFFICE

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FILING DATE APPLICATION NUMBER GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO TOT CLAIMS IND CLAIMS 07/14/2000 0 22436-704 09/615.887 2756 28

021971 WILSON SONSINI GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 943041050

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FILING RECEIPT

Date Mailed: 10/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Moises Goldszmidt, Residence Not Provided -- Foster City, CA-Bikash Sabata, Residence Not Provided -- Menlo Park, CA--Derek Palma, Residence Not Provided -- Union Dity, CA -- Amitava Raha, Residence Not Provided -- Sou Jose, CA --

Continuing Data as Claimed by Applicant -- This apply is a CIP of 09/615,892 7/14/00 09/615,886 7/14/00 09/615,678 7/14/00 **Foreign Applications** 09/616,488 7/14/00 09/615,677 7/14/00 09/616,384 7/14/00

If Required, Foreign Filing License Granted 08/31/2000 09/616,696 3/14/60 09/615,962 7/4/00 --

Title

Methods and apparatus to control delivery of a host information systems service levels and response times

Preliminary Class

709

-- small enfity

Data entry by: HASSANI, NASIMA

Team: OIPE

Date: 10/16/2000